

Fund Offerings

SS&C ALPS Advisors

June 30, 2025

Exchange-Traded Funds (ETFs)

A diverse lineup of active and passive ETFs that span numerous strategies and are designed to perform in all market conditions.

	TICKER	FUND NAME	INCEPTION DATE	FOCUS
Midstream	AMPLP	Alerian MLP ETF	8/24/2010	Master Limited Partnerships (MLPs)
	ENFR	Alerian Energy Infrastructure ETF	10/31/2013	North American Energy Infrastructure
Active	MNBD	ALPS Intermediate Municipal Bond ETF	5/19/2022	Fixed Income
	SMTH	ALPS Smith Core Plus Bond ETF	12/5/2023	Fixed Income
	REIT	ALPS Active REIT ETF	2/25/2021	US Real Estate
	CCNR	ALPS CoreCommodity Natural Resources ETF	7/10/2024	Natural Resources
	RIGS	RiverFront Strategic Income Fund	10/7/2013	Fixed Income
	RFFC	ALPS Active Equity Opportunity ETF	6/6/2016	Differentiated Value
	RFCI	RiverFront Dynamic Core Income ETF	6/13/2016	Fixed Income
	RFDA	RiverFront Dynamic US Dividend Advantage ETF	6/6/2016	Differentiated Value
	LGRO	Level Four Large Cap Growth Active ETF	8/22/2023	Large-Cap Equity
Factor	SDOG	ALPS Sector Dividend Dogs ETF	6/29/2012	Dividend/Quality
	IDOG	ALPS International Sector Dividend Dogs ETF	6/27/2013	Dividend/Value
	EDOG	ALPS Emerging Sector Dividend Dogs ETF	3/27/2014	Dividend/Value
	RDOG	ALPS REIT Dividend Dogs ETF	5/7/2008	US Real Estate
	OUSA	ALPS O'Shares U.S. Quality Dividend ETF	7/14/2015 ⁽¹⁾	Dividend/Quality
	OUSM	ALPS O'Shares U.S. Small-Cap Quality Dividend ETF	12/30/2016 ⁽¹⁾	Dividend/Small-Cap
	OEUR	ALPS O'Shares Europe Quality Dividend ETF	8/19/2025 ⁽¹⁾	Dividend/Quality
	BFOR	Barron's 400 ETF	6/3/2013	All-Cap Growth
	EQL	ALPS Equal Sector Weight ETF	7/6/2009	Large-Cap Equity
Thematic	ACES	ALPS Clean Energy ETF	6/28/2018	Clean Energy
	DTEC	ALPS Disruptive Technologies ETF	12/28/2017	Disruptive Technologies
	SBIO	ALPS Medical Breakthroughs ETF	12/30/2014	Drug Innovation
	OGIG	ALPS O'Shares Global Internet Giants ETF	6/5/2018 ⁽¹⁾	Global Technology
	ELFY	ALPS Electrification Infrastructure ETF	4/9/2025	Electrification Infrastructure

⁽¹⁾ Date reflects the commencement of investment operations.

Mutual Funds

An actively managed collection of mutual funds that offer specialization across geographies, asset classes and objectives.

FUND NAME	INCEPTION DATE	FOCUS	INVESTMENT STYLE	AVAILABLE CLASSES
ALPS Asset Allocation Growth & Income ⁽¹⁾	8/2/2010	Asset Allocation	Active	I (RLIIX), Inv (RLGAX), A (RAGIX), C (RLGCX)
ALPS Balanced Opportunity Fund ⁽²⁾	9/15/2020	Asset Allocation	Active	I (ALPBX), Inv (ALIBX), A (ALABX), C (ALCBX)
ALPS Global Opportunity Fund	12/31/2007	Global Equity	Active	I (LPEIX), Inv (LPEFX), A (LPFAX), C (LPFCX), R (LPERX)
ALPS CoreCommodity Management CompleteCommodities Strategy Fund	6/29/2010	Commodities	Active	I (JCRIX), Inv (JCRAx), A (JCCSX), C (JCRCX)
ALPS Kotak India ESG Fund	2/14/2011	India	Active	I (INDIX), II (INDSX), Inv (INDAX), A (INAAX), C (INFCX)
ALPS Smith Credit Opportunities Fund	9/15/2020	Fixed Income	Active	I (SMCRX), Inv (SMCVX), A (SMCAX), C (SMCCX)
ALPS Smith Short Duration Bond Fund	6/29/2018	Fixed Income	Active	I (SMDSX), Inv (SMRSX), A (SMASX), C (SMCMX)
ALPS Smith Total Return Bond Fund	6/29/2018	Fixed Income	Active	I (SMTHX), Inv (SMTRX), A (SMAMX), C (SMCHX)

⁽¹⁾ Effective 2/28/2025, the RiverFront Asset Allocation Growth & Income changed its name to the ALPS Asset Allocation Growth & Income. Additionally, RiverFront Investment Group, LLC ceased to serve as sub-advisor to the Fund and ALPS Advisors, Inc., the Fund's investment adviser, assumed all responsibility for selecting the Fund's investments.

⁽²⁾ Effective 10/4/2024, the ALPS | Smith Balanced Opportunity Fund changed its name to the ALPS Balanced Opportunity Fund. Additionally, Smith Capital Investors, LLC ceased to serve as sub-adviser to the Fund and ALPS Advisors, Inc., the Fund's investment adviser, assumed all responsibility for selecting the Fund's investments.

Variable Insurance Trusts

An insurance lineup that spans alternative income, international and asset allocation investment goals.

FUND NAME	INCEPTION DATE	FOCUS	AVAILABLE CLASSES
ALPS Global Opportunity Portfolio	10/24/2014	Global Equity	I (n/a), III (AVPEX)
Morningstar Aggressive Growth ETF Asset Allocation Portfolio	4/30/2007	Asset Allocation	I (n/a), II (AGTFX)
Morningstar Balanced ETF Asset Allocation Portfolio	4/30/2007	Asset Allocation	I (n/a), II (BETFX)
Morningstar Conservative ETF Asset Allocation Portfolio	4/30/2007	Asset Allocation	I (n/a), II (CETFX)
Morningstar Growth ETF Asset Allocation Portfolio	4/30/2007	Asset Allocation	I (n/a), II (GETFX)
Morningstar Income and Growth ETF Asset Allocation Portfolio	4/30/2007	Asset Allocation	I (n/a), II (IETFX)
ALPS Alerian Energy Infrastructure Portfolio	4/30/2013	North American Energy Infrastructure	I (n/a), III (ALEFX)

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

Please note that product availability may vary by firm.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

The ALPS Electrification Infrastructure ETF is new and has limited operating history.

Shares of the Portfolios are only offered to participating insurance companies and their separate accounts to fund the benefits of variable annuity contracts and variable life insurance policies.

Shares of the Portfolios also may be used as investment vehicles for qualified pension and retirement plans and certain registered and unregistered separate accounts.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of an investment is available in the prospectus.

Asset allocation cannot assure a profit nor protect against a loss. The stocks of smaller companies are subject to above-average market price fluctuations. There are specific risks associated with international investing, such as currency fluctuations, foreign taxation, differences in financial reporting practices and rapid changes in political and economic conditions. Fixed income securities are subject to interest rate risk, prepayment risk and market risk. Commodity trading is highly speculative and involves a high degree of risk. Funds focusing on a single sector generally experience greater price volatility. Real estate investments are subject to specific risks, such as risks related to general and local economic conditions and risks related to individual properties.

ALPS Advisors, Inc., registered investment adviser with the SEC, is the investment adviser to the Funds. ALPS Advisors, Inc. is affiliated with ALPS Portfolio Solutions Distributor, Inc.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Funds.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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