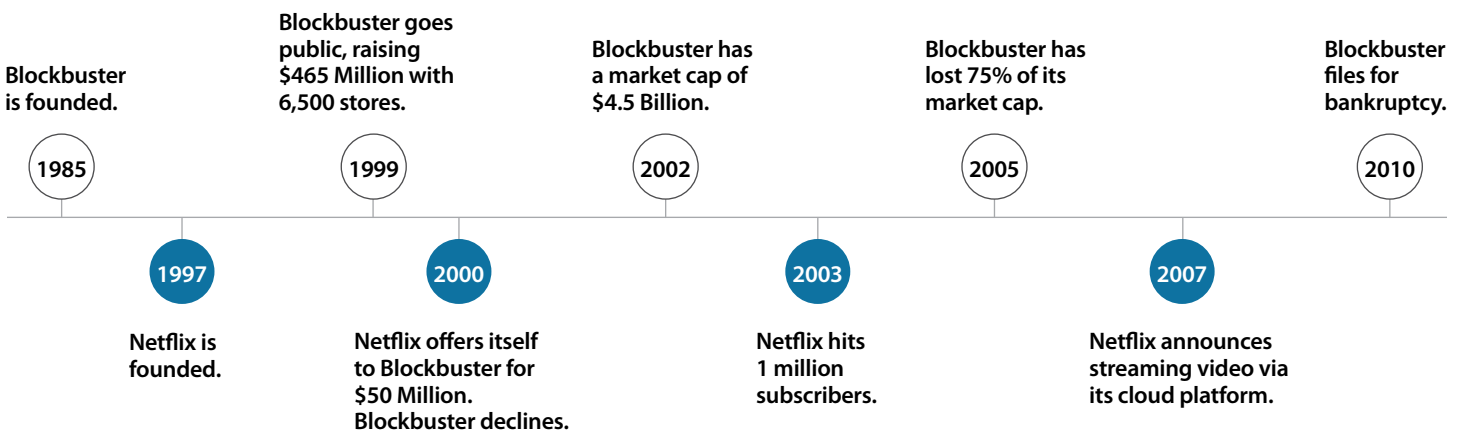


What is Thematic Investing?

Thematic investing aims to provide investors with exposure to secular trends taking shape within the global economy. Such trends may impact industries and influence how they do business. As a result, companies leading the disruption of the status quo may provide significant growth opportunities for investors.

For example, within the thematic category of disruptive technology, Netflix is a great example of a company that replaced an existing digital distribution platform.



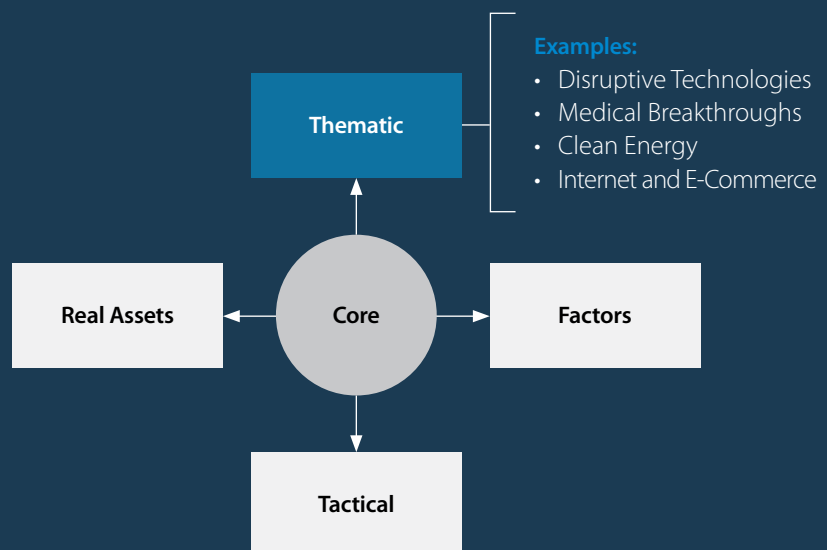
As of 6/30/2025, Netflix (NFLX, 1.08%) is held in the ALPS Disruptive Technologies ETF, Netflix (NFLX, 2.28%) is held in the ALPS | O'Shares Global Internet Giants ETF.

Why Use Thematic Exchange-Traded Funds (ETFs) in a Portfolio?

- To capitalize on emerging trends within technology, demographics and social effects on the global economy.
- An opportunity to add value outside of traditional style box investing.
- To align your beliefs with your investments.
- To add alpha around the core of the portfolio (i.e. as a satellite or complement to the core).

How to Use Thematic ETFs in a Portfolio?

- As part of the growth equity sleeve to capture innovation.
- As a stock replacement story to add diversification.



SS&C ALPS Advisors Thematic ETF Sleeve

ALPS Disruptive Technologies ETF

Ticker: DTEC

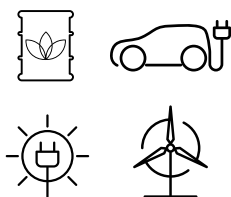
Exposure to 10 different disruptive technology themes with 10 global, pure-play companies within each theme.



ALPS Clean Energy ETF

Ticker: ACES

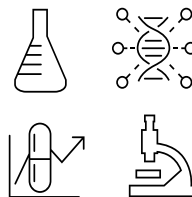
Exposure to US and Canadian-listed pure-play companies that derive a majority of their value from a clean energy business.



ALPS Medical Breakthroughs ETF

Ticker: SBIO

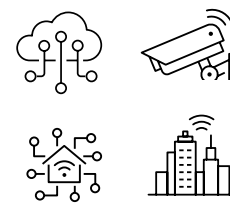
Exposure to US small and mid cap biotechnology companies that are at the forefront of drug development and innovation.



ALPS | O'Shares Global Internet Giants ETF

Ticker: OGIG

Exposure to some of the largest global companies that derive most of their revenue from the internet technology and e-commerce business segments that exhibit above average growth potential.



Thematic ETFs

- Low overlap to an equity core (S&P 500)
- Low overlap to each other
- High conviction portfolios with purpose
- Pure play holdings to maximize exposure

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

Shares of ETFs are bought and sold at market price (not NAV) and are not individually redeemable.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

Diversification does not eliminate the risk of experiencing investment losses.

The Funds employ a "passive management" - or indexing - investment approach and seek investment results that correspond (before fees and expenses) generally to the performance of their underlying index. Unlike many investment companies, the Funds are not "actively" managed. Therefore, they would not necessarily sell or buy a security unless that security is removed from or added to their underlying index, respectively.

Alpha: a measure of performance on a risk-adjusted basis; often considered the active return on an investment, the ratio gauges the performance of an investment against a market index used as a benchmark.

High Conviction: active management focused on high confidence securities.

Pure Play: refers to a publicly traded company that is focused on only one industry or product.

S&P 500 Index: widely regarded as the best single gauge of large-cap US equities. The index includes 500 leading companies and covers approximately 80% of available market capitalization. One may not invest directly in an index.

ALPS Advisors, Inc., registered investment adviser with the SEC, is the investment adviser to the Funds. ALPS Advisors, Inc. and ALPS Portfolio Solutions Distributor, Inc., affiliated entities, are unaffiliated with O'Shares Investments.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Funds.

Not FDIC Insured • No Bank Guarantee • May Lose Value

APS002709 2/28/2026