

# ALPS Asset Allocation Growth & Income

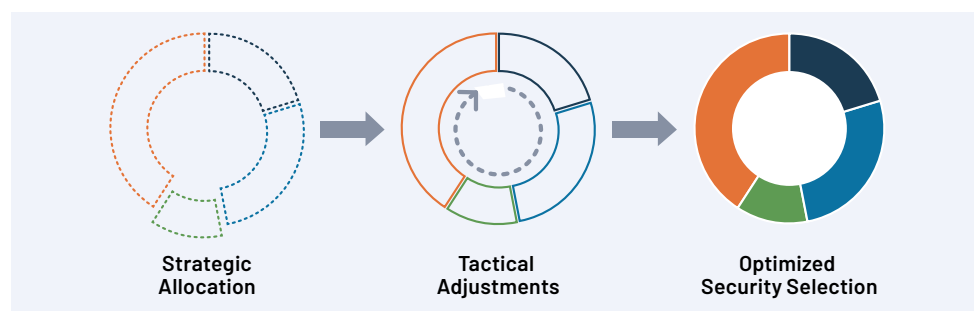
Tickers: RLIIX | RLGAX | RAGIX | RLG CX

Fact Sheet as of June 30, 2025

## Fund Strategy

- The ALPS Asset Allocation Growth & Income is designed for investors seeking current income and capital appreciation while also seeking to manage risk.
- The Adviser seeks to achieve the Fund's investment objective by strategically investing in, and tactically adjusting allocations to, exchange-traded funds (ETFs) that, under normal market conditions, are expected to consist of ETFs advised by the Adviser, as well as unaffiliated ETFs. The ETFs in which the Fund may invest consist of ETFs that are listed on US exchanges and may have exposure to international securities.
- The Fund also seeks, under normal market conditions, a target asset allocation, on a look-through basis, of 60% to equities and 40% to fixed-income securities, subject to variations of +/- 20%.

## Dynamic Investment Process



*Strategic allocation and tactical adjustments enable dynamic positioning for allocation flexibility.*

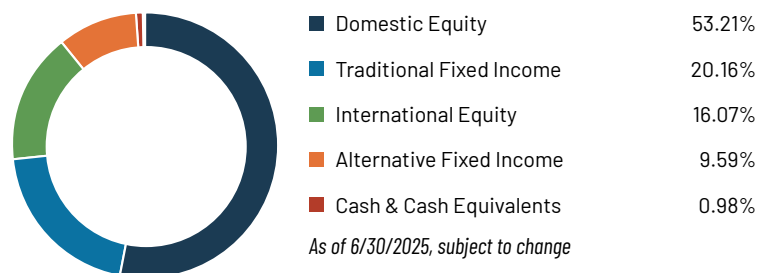
### Long-Term Strategic Allocation:

- Identify asset classes or market sectors that appear to present attractive relative long-term value and capital growth opportunities over a three- to ten-year period.
- Position the portfolio across asset classes that offer the optimal combination of risk and long-term return potential.

### Short-Term Tactical Adjustments:

- Process periodic modifications to the allocation in response to market conditions.
- Emphasize asset classes that are perceived to have a higher probability of relative outperformance.
- Combine mathematical valuation models with market judgment and technical analysis to make risk-controlled adjustments to the allocation in order to take advantage of short-term opportunities.

## Market Sector Breakdown



## Minimum Initial Investment

Class I:	\$1,000,000
Class Inv, A & C:	\$500 for tax-deferred, \$2,500 for all others

## Fund Details

Inception Date:	8/2/2010
Distributions Paid:	Quarterly
Total Net Assets:	\$74,344,576

## Portfolio Management

### Laton Spahr, CFA

Portfolio Manager, President,  
SS&C ALPS Advisors, 27 years experience

### Eric Hewitt

Portfolio Manager, Co-CIO,  
SS&C ALPS Advisors, 26 years experience

*Laton Spahr and Eric Hewitt are Registered  
Representatives of ALPS Distributors, Inc.*

## Investment Objective

The Fund seeks to achieve long-term growth and income.

## Top 10 Holdings

RiverFront Dynamic US Dividend Advantage ETF	18.07%
ALPS Active Equity Opportunity ETF	12.45%
RiverFront Strategic Income Fund	9.59%
iShares Core MSCI International Developed Markets ETF	9.56%
SPDR Bloomberg 1-3 Month T-Bill ETF	8.03%
Vanguard® Total Stock Market ETF	6.93%
iShares® Core S&P 500® ETF	6.66%
iShares Core U.S. Aggregate Bond ETF	6.03%
ALPS Electrification Infrastructure ETF	5.06%
ALPS International Sector Dividend Dogs ETF	4.47%

*As of 6/30/2025, subject to change*

## Performance as of 6/30/2025

Total Returns	3 M	YTD	1 Y	3 Y	5 Y	10 Y	SI
Class I (NAV)	6.43%	5.57%	9.16%	10.45%	8.43%	6.00%	6.90%
Inv Class (NAV)	6.34%	5.41%	8.87%	10.16%	8.16%	5.73%	6.63%
Class A (NAV)	6.35%	5.42%	8.89%	10.15%	8.16%	5.73%	6.63%
Class A (MOP)	2.88%	-0.39%	2.88%	8.09%	6.95%	5.14%	6.23%
Class C (NAV)	6.18%	5.09%	8.09%	9.34%	7.35%	4.95%	5.83%
Class C (CDSC)	5.18%	4.09%	7.09%	9.34%	7.35%	4.95%	5.83%
Benchmark 1	11.56%	9.92%	15.76%	16.82%	13.28%	9.67%	9.84%
Benchmark 2	7.36%	7.62%	11.91%	11.05%	7.66%	6.68%	6.99%

## Calendar Year Returns

Total Returns	2024	2023	2022	2021	2020	2019	2018	2017	2016	2015	2014
Class I (NAV)	11.10%	14.28%	-14.08%	7.09%	18.51%	-11.13%	17.59%	6.81%	0.05%	3.14%	18.51%
Inv Class (NAV)	10.80%	14.05%	-14.30%	6.78%	18.25%	-11.31%	17.31%	6.57%	-0.29%	2.95%	18.21%
Class A (NAV)	10.73%	14.07%	-14.24%	6.79%	18.24%	-11.30%	17.31%	6.57%	-0.29%	2.95%	18.21%
Class A (MOP)	4.67%	7.80%	-18.94%	0.95%	11.69%	-16.20%	10.83%	0.72%	-5.79%	-2.73%	11.74%
Class C (NAV)	9.89%	13.25%	-14.94%	6.07%	17.35%	-12.03%	16.47%	5.79%	-1.01%	2.12%	17.34%
Class C (CDSC)	8.89%	12.25%	-15.74%	5.07%	16.35%	-12.77%	15.47%	4.79%	-1.97%	1.15%	16.34%
Benchmark 1	16.31%	21.33%	-18.18%	16.07%	26.24%	-9.82%	23.87%	8.22%	-2.04%	4.00%	23.32%
Benchmark 2	10.10%	14.88%	-15.91%	13.43%	19.21%	-5.77%	15.35%	6.13%	-0.79%	4.87%	12.61%

## Identifiers and Fees

Identifiers	Class I	Inv Class	Class A	Class C
Ticker	RLIIX	RLGAX	RAGIX	RLGCX
CUSIP	317609550	317609576	31761R278	317609568
<b>Shareholder Fees<sup>(1)</sup></b>				
Maximum Sales Charge (Load) <sup>(2)</sup>	None	None	3.25%	None
Maximum Deferred Sales Charge <sup>(3)</sup>	None	None	None	1.00%
<b>Annual Fund Operating Expenses<sup>(4)</sup></b>				
Total Operating Expenses	<b>0.47%</b>	<b>0.72%</b>	<b>0.72%</b>	<b>1.47%</b>

## Important Disclosures & Definitions

**An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus containing this and other information, call 1-866-759-5679 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Read the prospectus carefully before investing.**

Performance data quoted represents past performance. Past performance is no guarantee of future results; current performance may be higher or lower than performance quoted.

All investments are subject to risks, including the loss of money and the possible loss of the entire principal amount invested. Additional information regarding the risks of this investment is available in the prospectus.

Effective 2/28/2025, the RiverFront Asset Allocation Growth & Income changed its name to the ALPS Asset Allocation Growth & Income. Additionally, RiverFront Investment Group, LLC ceased to serve as sub-advisor to the Fund and ALPS Advisors, Inc., the Fund's investment adviser, assumed all responsibility for selecting the Fund's investments.

The Fund invests in affiliated exchange-traded funds (ETFs). To seek to mitigate risks of conflicts of interest arising from investments in affiliated investment companies, the Fund has adopted an expense structure under which the Fund does not pay advisory fees to the Adviser. Each ETF in which the Fund invests will be subject to its own principal risks. Those ETF risks may in turn become principal risks of an investment in the Fund. ETFs are investment companies that are bought and sold on a securities exchange. The Fund could lose money by investing in an ETF. Overall securities market risks may affect the value of individual instruments in which the Fund or an affiliated ETF invests. When the value of the Fund's investments goes down, your investment in the Fund decreases in value and you could lose money.

The performance of the Fund will depend largely on the decisions of the Adviser as to strategic

asset allocation and tactical adjustments made to the asset allocation. At times, the Adviser's judgments may prove to be wrong from time to time or for extended periods of time and the Fund may lose money. The performance of an affiliated ETF is related to the economic sectors that the Adviser may choose to emphasize or deemphasize from time to time, as well as to the individual securities selected by the Adviser within those sectors. The investment returns for particular economic sectors will fluctuate and may be lower than other sectors. In addition, the individual securities chosen for investment within a particular sector may underperform other securities within that same sector. The value of an affiliated ETF's investments in fixed-income securities will generally decrease when interest rates rise, which means the Fund's NAV will likewise decrease.

**Bloomberg US Aggregate Bond Index:** a broad-based benchmark that measures the investment grade, US dollar-denominated, fixed-rate taxable bond market. The index includes Treasuries, government-related and corporate securities, fixed-rate agency MBS, ABS and CMBS (agency and non-agency).

**Morningstar Global Markets Index:** measures the performance of the stocks located in the developed and emerging countries across the world. Stocks in the index are weighted by their float capital, which removes corporate cross ownership, government holdings and other locked-in shares.

One may not invest directly in an index.

ALPS Advisors, Inc., registered investment adviser with the SEC, is the investment adviser to the Fund. ALPS Advisors, Inc., ALPS Distributors, Inc. and ALPS Portfolio Solutions Distributor, Inc. are affiliated.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the Fund.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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