

ALPS Portfolio Solutions 2021 Year-End Distribution Estimates

The per share distribution amounts listed below are capital gain estimates as of October 31, 2021 and ordinary income estimates as of November 18, 2021, based on preliminary information. **These figures are NOT to be used in the computation of federal and/or state income taxes.** The actual distribution amounts will be posted to alpsfunds.com on ex-dividend date.

| Product | Ticker | Ordinary Income Estimate | Short-Term Capital Gains Estimate | Long-Term Capital Gains Estimate | NAV at 11/18/21 | Total Distribution Estimate as a % of NAV |
|---|------------------------------|--------------------------|-----------------------------------|----------------------------------|-----------------|---|
| ALPS CoreCommodity Management Complete Commodities Strategy Fund | | | | | | |
| Record Date: 12/08/2021 | Ex-Dividend Date: 12/09/2021 | Payable Date: 12/10/2021 | | | | |
| Class I | JCRIX | \$1.66399 | — | — | \$9.61 | 17.3% |
| Investor Class | JCRAX | \$1.66399 | — | — | \$9.49 | 17.5% |
| Class A | JCCSX | \$1.66399 | — | — | \$9.50 | 17.5% |
| Class C | JCRCX | \$1.66399 | — | — | \$8.92 | 18.7% |
| ALPS Kotak India Growth Fund | | | | | | |
| Record Date: 12/08/2021 | Ex-Dividend Date: 12/09/2021 | Payable Date: 12/10/2021 | | | | |
| Class I | INDIX | \$0.47844 | \$0.15541 | \$0.38496 | \$19.67 | 5.2% |
| Investor Class | INDAX | \$0.47844 | \$0.15541 | \$0.38496 | \$19.77 | 5.2% |
| Class A | INAAX | \$0.47844 | \$0.15541 | \$0.38496 | \$19.01 | 5.4% |
| Class C | INFCX | \$0.47844 | \$0.15541 | \$0.38496 | \$18.92 | 5.4% |
| Class II | INDSX | \$0.47844 | \$0.15541 | \$0.38496 | \$17.45 | 5.8% |
| ALPS Red Rocks Global Opportunity Fund | | | | | | |
| Record Date: 12/08/2021 | Ex-Dividend Date: 12/09/2021 | Payable Date: 12/10/2021 | | | | |
| Class I | LPEIX | \$1.27120 | \$0.19238 | \$0.53610 | \$9.75 | 20.5% |
| Investor Class | LPEFX | \$1.27120 | \$0.19238 | \$0.53610 | \$9.52 | 21.0% |
| Class A | LPFAX | \$1.27120 | \$0.19238 | \$0.53610 | \$9.48 | 21.1% |
| Class C | LPFCX | \$1.27120 | \$0.19238 | \$0.53610 | \$8.71 | 23.0% |
| Class R | LPERX | \$1.27120 | \$0.19238 | \$0.53610 | \$7.61 | 26.3% |
| ALPS Smith Balanced Opportunity Fund | | | | | | |
| Ordinary Income: Record Date: 12/22/2021 | Ex-Dividend Date: 12/23/2021 | Payable Date: 12/27/2021 | | | | |
| Capital Gains: Record Date: 12/08/2021 | Ex-Dividend Date: 12/09/2021 | Payable Date: 12/10/2021 | | | | |
| Class I | ALPBX | \$0.00526 | \$0.01817 | \$0.00422 | \$12.31 | 0.2% |
| Investor Class | ALIBX | \$0.00526 | \$0.01817 | \$0.00422 | \$12.32 | 0.2% |
| Class A | ALABX | \$0.00526 | \$0.01817 | \$0.00422 | \$12.31 | 0.2% |
| Class C | ALCBX | \$0.00526 | \$0.01817 | \$0.00422 | \$12.27 | 0.2% |

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|---|------------------------------|--------------------------|-----------------------------------|----------------------------------|-----------------|---|
| ALPS Smith Credit Opportunities Fund | | | | | | |
| Ordinary Income: Record Date: 12/22/2021 | Ex-Dividend Date: 12/23/2021 | | Payable Date: 12/27/2021 | | | |
| Capital Gains: Record Date: 12/08/2021 | Ex-Dividend Date: 12/09/2021 | | Payable Date: 12/10/2021 | | | |
| Class I | SMCRX | \$0.02600 | \$0.03757 | \$0.00053 | \$10.43 | 0.6% |
| Investor Class | SMCVX | \$0.02600 | \$0.03757 | \$0.00053 | \$10.42 | 0.6% |
| Class A | SMCAX | \$0.02600 | \$0.03757 | \$0.00053 | \$10.43 | 0.6% |
| Class C | SMCCX | \$0.02600 | \$0.03757 | \$0.00053 | \$10.43 | 0.6% |
| ALPS Smith Short Duration Bond Fund | | | | | | |
| Ordinary Income: Record Date: 12/22/2021 | Ex-Dividend Date: 12/23/2021 | | Payable Date: 12/27/2021 | | | |
| Capital Gains: Record Date: 12/08/2021 | Ex-Dividend Date: 12/09/2021 | | Payable Date: 12/10/2021 | | | |
| Class I | SMDSX | \$0.00486 | \$0.01707 | \$0.00934 | \$10.56 | 0.3% |
| Investor Class | SMRSX | \$0.00486 | \$0.01707 | \$0.00934 | \$10.56 | 0.3% |
| Class A | SMASX | \$0.00486 | \$0.01707 | \$0.00934 | \$10.55 | 0.3% |
| Class C | SMCMX | \$0.00486 | \$0.01707 | \$0.00934 | \$10.52 | 0.3% |
| ALPS Smith Total Return Bond Fund | | | | | | |
| Ordinary Income: Record Date: 12/22/2021 | Ex-Dividend Date: 12/23/2021 | | Payable Date: 12/27/2021 | | | |
| Capital Gains: Record Date: 12/08/2021 | Ex-Dividend Date: 12/09/2021 | | Payable Date: 12/10/2021 | | | |
| Class I | SMTHX | \$0.02188 | — | — | \$11.19 | 0.2% |
| Investor Class | SMTRX | \$0.02188 | — | — | \$11.19 | 0.2% |
| Class A | SMAMX | \$0.02188 | — | — | \$11.19 | 0.2% |
| Class C | SMCHX | \$0.02188 | — | — | \$11.17 | 0.2% |
| Clough China Fund | | | | | | |
| Record Date: 12/15/2021 | Ex-Dividend Date: 12/16/2021 | | Payable Date: 12/17/2021 | | | |
| Class I | CHNIX | — | \$1.39758 | \$3.21036 | \$24.77 | 18.6% |
| Investor Class | CHNAX | — | \$1.39758 | \$3.21036 | \$23.83 | 19.3% |
| Class A | CHCAX | — | \$1.39758 | \$3.21036 | \$23.82 | 19.3% |
| Class C | CHNCX | — | \$1.39758 | \$3.21036 | \$21.68 | 21.3% |

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|---|------------------------------|--------------------------|-----------------------------------|----------------------------------|-----------------|---|
| RiverFront Global Allocation Series | | | | | | |
| Record Date: 12/22/2021 | Ex-Dividend Date: 12/23/2021 | Payable Date: 12/27/2021 | | | | |
| RiverFront Asset Allocation Growth & Income Fund | | | | | | |
| Class I | RLIIX | — | — | — | \$14.60 | 0.0% |
| Investor Class | RLGAX | — | — | — | \$14.65 | 0.0% |
| Class A | RAGIX | — | — | — | \$14.63 | 0.0% |
| Class C | RLGCX | — | — | — | \$14.13 | 0.0% |
| RiverFront Asset Allocation Moderate Fund | | | | | | |
| Class I | RMIIX | \$0.01169 | — | \$0.18841 | \$12.38 | 1.6% |
| Investor Class | RMIAX | \$0.01169 | — | \$0.18841 | \$12.37 | 1.6% |
| Class A | RMOAX | \$0.01169 | — | \$0.18841 | \$12.35 | 1.6% |
| Class C | RMICX | \$0.01169 | — | \$0.18841 | \$12.17 | 1.6% |
| RiverFront Asset Allocation Aggressive Fund | | | | | | |
| Class I | RLFIX | \$0.09341 | — | — | \$15.45 | 0.6% |
| Investor Class | RLTAX | \$0.09341 | — | — | \$15.09 | 0.6% |
| Class A | RLAAX | \$0.09341 | — | — | \$14.97 | 0.6% |
| Class C | RLTCX | \$0.09341 | — | — | \$13.99 | 0.7% |
| Investor Class II | RLTSX | \$0.09341 | — | — | \$14.96 | 0.6% |
| Class L | RLTIX | \$0.09341 | — | — | \$15.42 | 0.6% |

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the funds.

An investor should consider investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus which contains this and other information, call 1.866.759.5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

Performance data quoted represent past performance. Past performance is no guarantee of future results so that shares, when redeemed may be worth more or less than their original cost. The investment return and principal value will fluctuate. Current performance may be higher or lower than the performance quoted. For the most current month-end performance data please call 866.759.5679. Performance includes reinvested distributions and capital gains.

An investment in the Funds involves risk, including possible loss of principal.

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