

ALPS Portfolio Solutions 2020 Year-End Distribution Estimates

The per share distribution amounts listed below are estimates only as of October 31, 2020. **These figures are NOT to be used in the computation of federal and/or state income taxes.**

The actual distribution amounts will be posted to this website on ex-dividend date.

Product	Ticker	Short-Term Capital Gains Estimate	Long-Term Capital Gains Estimate
ALPS CoreCommodity Management CompleteCommodities Strategy Fund			
Record Date: 12/09/2020	Ex-Dividend Date: 12/10/2020	Payable Date: 12/11/2020	
Class I	JCRIX	—	—
Investor Class	JCRAX	—	—
Class A	JCCSX	—	—
Class C	JCRCX	—	—
ALPS Kotak India Growth Fund			
Record Date: 12/09/2020	Ex-Dividend Date: 12/10/2020	Payable Date: 12/11/2020	
Class I	INDIX	—	—
Investor Class	INDAX	—	—
Class A	INAAX	—	—
Class C	INFCX	—	—
Class II	INDSX	—	—
ALPS Red Rocks Global Opportunity Fund			
Record Date: 12/09/2020	Ex-Dividend Date: 12/10/2020	Payable Date: 12/11/2020	
Class I	LPEIX	—	\$0.29154
Investor Class	LPEFX	—	\$0.29154
Class A	LPFAX	—	\$0.29154
Class C	LPFCX	—	\$0.29154
Class R	LPERX	—	\$0.29154
ALPS Smith Balanced Opportunity Fund			
Record Date: 12/23/2020	Ex-Dividend Date: 12/24/2020	Payable Date: 12/28/2020	
Class I	ALPBX	—	—
Investor Class	ALIBX	—	—
Class A	ALABX	—	—
Class C	ALCBX	—	—

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Product	Ticker	Short-Term Capital Gains Estimate	Long-Term Capital Gains Estimate
ALPS Smith Credit Opportunities Fund			
Record Date: 12/23/2020	Ex-Dividend Date: 12/24/2020	Payable Date: 12/28/2020	
Class I	SMCRX	\$0.01679	—
Investor Class	SMCVX	\$0.01679	—
Class A	SMCAX	\$0.01679	—
Class C	SMCCX	\$0.01679	—
ALPS Smith Short Duration Bond Fund			
Record Date: 12/23/2020	Ex-Dividend Date: 12/24/2020	Payable Date: 12/28/2020	
Class I	SMDSX	\$0.08970	\$0.00207
Investor Class	SMRSX	\$0.08970	\$0.00207
Class A	SMASX	\$0.08970	\$0.00207
Class C	SMCMX	\$0.08970	\$0.00207
ALPS Smith Total Return Bond Fund			
Record Date: 12/23/2020	Ex-Dividend Date: 12/24/2020	Payable Date: 12/28/2020	
Class I	SMTHX	\$0.17306	\$0.01676
Investor Class	SMTRX	\$0.17306	\$0.01676
Class A	SMAMX	\$0.17306	\$0.01676
Class C	SMCHX	\$0.17306	\$0.01676
Clough China Fund			
Record Date: 12/16/2020	Ex-Dividend Date: 12/17/2020	Payable Date: 12/18/2020	
Class I	CHNIX	\$0.49318	\$0.41105
Investor Class	CHNAX	\$0.49318	\$0.41105
Class A	CHCAX	\$0.49318	\$0.41105
Class C	CHNCX	\$0.49318	\$0.41105

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Product	Ticker	Short-Term Capital Gains Estimate	Long-Term Capital Gains Estimate
RiverFront Global Allocation Series			
Record Date: 12/22/2020	Ex-Dividend Date: 12/23/2020	Payable Date: 12/28/2020	
RiverFront Asset Allocation Growth & Income Fund			
Class I	RLIX	—	—
Investor Class	RLGAX	—	—
Class A	RAGIX	—	—
Class C	RLGCX	—	—
RiverFront Asset Allocation Moderate Fund			
Class I	RMIIX	—	—
Investor Class	RMIAX	—	—
Class A	RMOAX	—	—
Class C	RMICX	—	—
RiverFront Asset Allocation Aggressive Fund			
Class I	RLFIX	—	—
Investor Class	RLTAX	—	—
Class A	RLAAX	—	—
Class C	RLTCX	—	—
Investor Class II	RLTSX	—	—
Class L	RLTIX	—	—

ALPS Portfolio Solutions Distributor, Inc., FINRA Member

An investor should consider investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus which contains this and other information, call 1.866.759.5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

An investment in the Funds involves risk, including possible loss of principal.

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