

# ALPS INTERNATIONAL SECTOR DIVIDEND DOGS ETF

## VALUE, INCOME, DIVERSIFICATION

# IDOG

September 30, 2018

The ALPS International Sector Dividend Dogs ETF [IDOG] is an Exchange Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Net Developed Markets (ex-Americas) Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Dividend Yield Relative to International Large Cap Indices** – Starting with a smaller, quality universe such as the S-Net Developed Markets (ex-Americas) Index diminishes the likelihood of troubled and financially distressed companies entering the index and allows dividend yield to be the primary selection criterion for the index.
- **Sector and Stock Diversification** – IDOG provides high dividend exposure across all 10 sectors of the market by selecting the five highest yielding securities in each sector and equally weighting them. This provides diversification at both the stock and sector level.
- **Dogs Theory** – IDOG isolates the S-Net Developed Markets (ex-Americas) Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

### Performance as of 9/30/18

Total Returns	Cumulative				Annualized			
	1 Mo.	3 Mo.	YTD	S.I.	1 Yr.	3 Yr.	5 Yr.	S.I. <sup>1</sup>
ALPS International Sector Dividend Dogs ETF (Net Asset Value)	1.34%	0.18%	-3.09%	31.78%	0.79%	9.09%	2.98%	5.39%
ALPS International Sector Dividend Dogs ETF (Market Price) <sup>2</sup>	0.96%	0.22%	-3.34%	31.38%	0.05%	8.93%	2.80%	5.33%
MSCI EAFE Index (Benchmark)	0.87%	1.35%	-1.43%	38.61%	2.74%	9.23%	4.42%	6.40%
S-Network <sup>®</sup> International Sector Dividend Dogs NTR Index <sup>3</sup>	1.38%	0.23%	-3.01%	34.41%	1.10%	9.51%	3.38%	5.78%

**Performance data quoted represents past performance. Past performance does not guarantee future results. Current performance may be higher or lower than actual data quoted. Call 1.866.675.2639 or visit [www.alpssectordividenddogs.com](http://www.alpssectordividenddogs.com) for current month end performance. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.**

<sup>1</sup> Fund inception date of 6/27/2013.

<sup>2</sup> Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

<sup>3</sup> The S-Network<sup>®</sup> International Sector Dividend Dogs NTR Index (Ticker: IDOGX) is designed to serve as a fair, impartial and transparent measure of the performance of international large cap equities with above average dividend yields.

### ETF Stats

Ticker:	IDOG
Underlying Index:	IDOGXTR
Listing Exchange:	NYSE Arca
CUSIP:	00162Q718
Fund Inception:	6/27/2013
Dividends Paid:	Quarterly
Most Recent Dividend*:	\$0.1994
Gross Expense Ratio:	<b>0.50%</b>
NAV**:	\$26.90
Shares Outstanding:	11,800,000
30 Day SEC Yield <sup>^^</sup>	4.84%
Unsubsidized 30 Day SEC Yield	4.84%

\* Dividend paid on September 27, 2018

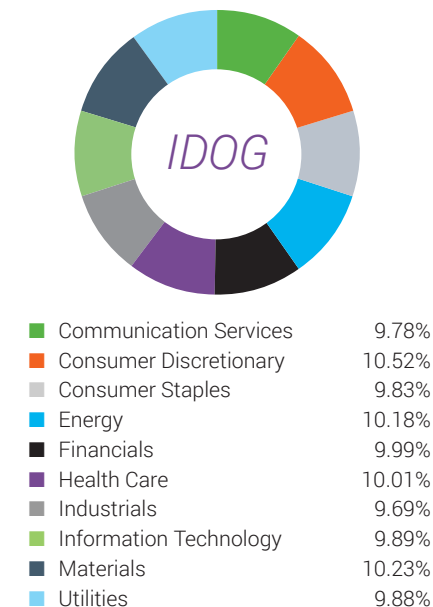
\*\* As of September 30, 2018

<sup>^^</sup> The 30 day SEC yield figure reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

### Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network<sup>®</sup> International Sector Dividend Dogs Index (the "Underlying Index").

### Index Allocations



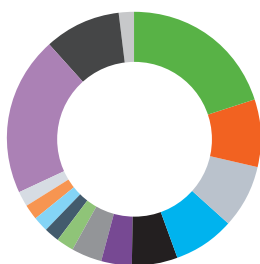
Allocations as of 9/30/18 and are subject to change.

Learn More

866.675.2639 | [www.alpssectordividenddogs.com](http://www.alpssectordividenddogs.com)



IDOG Index Country Allocations



WESTERN EUROPE

United Kingdom - 20.08%	Spain - 3.86%
Sweden - 8.64%	Denmark - 2.08%
France - 7.96%	Netherlands - 2.07%
Finland - 7.84%	Belgium - 1.94%
Germany - 5.95%	Switzerland - 1.91%
Italy - 3.92%	Portugal - 1.91%

ASIA PACIFIC

Australia - 20.28%
Japan - 9.62%
Macau - 1.94%

Allocations as of 9/30/18 and are subject to change.

Index Constituents as of 9/30/18

Communication Services

PROX BB	PROXIMUS
O2D GR	TELEFONICA DEUTSCHLAND HOLDI
TELIA SS	TELIA CO AB
TLS AU	TELSTRA CORP LTD
VOD LN	VODAFONE GROUP PLC

Consumer Discretionary

HMB SS	HENNES & MAURITZ AB-B SHS
7201 JP	NISSAN MOTOR CO LTD
PNDORA DC	PANDORA A/S
PSN LN	PERSIMMON PLC
1928 HK	SANDS CHINA LTD

Consumer Staples

CA FP	CARREFOUR SA
IMB LN	IMPERIAL BRANDS PLC
2914 JP	JAPAN TOBACCO INC
WES AU	WESFARMERS LTD
WOW AU	WOOLWORTHS GROUP LTD

Energy

BP/ LN	BP PLC
ENI IM	ENI SPA
RDSA NA	ROYAL DUTCH SHELL PLC-A SHS
FP FP	TOTAL SA
WPL AU	WOODSIDE PETROLEUM LTD

Financials

AMP AU	AMP LTD
NAB AU	NATIONAL AUSTRALIA BANK LTD
NDA SS	NORDEA BANK ABP
SWEDA SS	SWEDBANK AB - A SHARES
WBC AU	WESTPAC BANKING CORP

Health Care

AZN LN	ASTRAZENECA PLC
BAYN GR	BAYER AG-REG
GSK LN	GLAXOSMITHKLINE PLC
ROG SW	ROCHE HOLDING AG-GENUSSCHEIN
SAN FP	SANOFI

Industrials

ATL IM	ATLANTIA SPA
EN FP	BOUYGUES SA
FER SM	FERROVIAL SA
IAG LN	INTL CONSOLIDATED AIRLINE-DI
9201 JP	JAPAN AIRLINES CO LTD

Information Technology

7751 JP	CANON INC
ERICB SS	ERICSSON LM-B SHS
MCRO LN	MICRO FOCUS INTERNATIONAL
NOKIA FH	NOKIA OYJ
8035 JP	TOKYO ELECTRON LTD

Materials

BHP AU	BHP BILLITON LIMITED
EVK GR	EVONIK INDUSTRIES AG
FMG AU	FORTESCUE METALS GROUP LTD
RIO AU	RIO TINTO LTD
UPM FH	UPM-KYMMENE OYJ

Utilities

CNA LN	CENTRICA PLC
EDP PL	EDP-ENERGIAS DE PORTUGAL SA
ELE SM	ENDESA SA
FORTUM FH	FORTUM OYJ
SSE LN	SSE PLC

Source: Bloomberg.  
Subject to change.

Important Disclosures & Definitions

**An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus which contain this and other information call 866.675.2639 or visit [www.alpsfunds.com](http://www.alpsfunds.com). Read the prospectus carefully before investing.**

**ALPS International Sector Dividend Dogs ETF Shares are not individually redeemable. Investors buy and sell shares of the ALPS International Sector Dividend Dogs ETF on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 50,000 shares.**

There are risks involved with investing in ETFs including the loss of money. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund's investments in non-U.S. issuers may involve unique risks compared to investing in securities of U.S. issuers, including, among others, greater market volatility than U.S. securities and less complete financial information than for U.S. issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments

or prevent the Fund from realizing the full value of its investments. Financial reporting standards for companies based in foreign markets differ from those in the United States. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the U.S. dollar, which may affect the value of the investment to U.S. investors. An investor cannot invest directly in an index.

**The S-Net Developed Markets (ex-Americas) Index:** The World Bank's methodology for identifying High Income Countries is based on the country's gross national income (GNI) per capita. The selection criteria for the universe also includes requirements for sector inclusion, primary exchange listing, minimum market capitalization, share price, average daily trading volume and other factors.

**Dogs of the Dow Theory:** an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

**MSCI EAFE Index:** a stock market index that is designed to measure the equity market performance of developed markets outside of the U.S. & Canada.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the ALPS International Sector Dividend Dogs ETF.