

# ALPS INNOVATIVE PRODUCT SUITE

September 30, 2018

ALPS Advisors, Inc. offers investment solutions designed to help advisors and investors build better portfolios through its unique exposure, approach and partnerships.

## ALPS Mutual Funds

Our Mutual Fund suite continues to expand with our goal to provide exposure to unique asset classes through registered, fully liquid investment vehicles.

Investment Category	Fund Name	Ticker. Investor, A, C, I				Active/Passive	Inception Date
Fixed Income	ALPS   Smith Short Duration Bond Fund	SMRSX	SMASX	SMCMX	SMDSX	Active	6/29/18
	ALPS   Smith Total Return Bond Fund	SMTRX	SMAMX	SMXHX	SMTHX	Active	6/29/18
Global Equity	ALPS   Red Rocks Listed Private Equity Fund	LPEFX	LPFAX	LPFCX	LPEIX	Active	12/31/07
Large Value	ALPS   WMC Research Value Fund	AMWYX	AMWAX	AMWCX	AMWIX	Active	8/10/71
Commodities	ALPS   CoreCommodity Management CompleteCommodities Fund	JCRAX	JCCSX	JCRCX	JCRIX	Active	6/29/10
Global Allocation	RiverFront Asset Allocation Income & Growth	RCABX	RCCAX	RCCBX	RCIBX	Active	8/31/12
	RiverFront Asset Allocation Growth & Income	RLGAX	RAGIX	RLGCX	RLIIX	Active	8/2/10
	RiverFront Asset Allocation Aggressive	RLTAX	RLAAX	RLTCX	RLFIX	Active	9/27/10
	RiverFront Asset Allocation Growth	RMGAX	RMBAX	RMGCX	RMGIX	Active	8/2/10
	RiverFront Asset Allocation Moderate	RMIAX	RMOAX	RMICX	RMIIX	Active	8/2/10
Long/Short Equity	Clough Global Long/Short Fund	CLOAX	CLOVX	CLOCX	CLOIX	Active	9/30/15
China	Clough China Fund	CHNAX	CHCAX	CHNCX	CHNIX	Active	12/30/05
India	ALPS   Kotak India Growth Fund	INDAX	INAAX	INFCX	INDIX	Active	2/14/11

Please note that product availability may vary by firm.

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866.759.5679 | alpsfunds.com



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## ALPS Exchange-Traded Funds (ETFs)

Our ETF suite is strategically designed to focus on specific market segments, geographies, sectors, factors and themes to provide unique building blocks for constructing diversified portfolios.

Investment Category	Fund Name	Ticker	Active/Passive	Inception Date
Master Limited Partnerships (MLPs)	Alerian MLP ETF	AMLP	Passive	8/25/10
	Alerian Energy Infrastructure ETF	ENFR	Passive	10/31/13
Equity Income	ALPS Sector Dividend Dogs ETF	SDOG	Passive	6/29/12
	ALPS International Sector Dividend Dogs ETF	IDOG	Passive	6/27/13
	ALPS Emerging Sector Dividend Dogs ETF	EDOG	Passive	3/27/14
Global Fixed Income	RiverFront Strategic Income Fund	RIGS	Active	10/7/13
Commodities	United States Commodity Index Fund*	USCI	Passive	8/10/10
Large/Mid Cap Momentum	Buzz US Sentiment Leaders ETF	BUZ	Passive	4/19/16
Biotech/Pharmaceuticals	ALPS Medical Breakthroughs ETF	SBIO	Passive	12/30/14
Clean Energy	ALPS Clean Energy ETF	ACES	Passive	6/29/18
Sector Focused	ALPS Equal Sector Weight ETF	EQL	Passive	7/6/09
Real Estate Investment Trusts (REITs)	Cohen & Steers Global Realty Majors ETF	GRI	Passive	5/7/08
Mid Cap Growth	BARRON'S 400 <sup>SM</sup> ETF	BFOR	Passive	6/3/13
Socially Responsible	Workplace Equality Portfolio ETF	EQLT	Passive	2/26/14
Disruptive Technologies	ALPS Disruptive Technologies ETF	DTEC	Passive	12/29/17

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**\* United States Commodity Index Fund® (USCI) ("the Fund"), is not a mutual fund or any other type of Investment Company within the meaning of the Investment Company Act of 1940, as amended, and are not subject to regulation thereunder.**

**Commodity Funds are generally volatile and are not suitable for all investors.**

**Material must be preceded or accompanied by a Prospectus.**

Shares of Exchange Traded Funds (ETFs) are not individually redeemable and owners of the shares may acquire those shares from the ETF and tender those shares for redemption to the ETF in Creation Units only, see the ETF prospectus for additional information regarding Creation Units. Investors may purchase or sell ETF shares throughout the day through any brokerage account, which will result in typical brokerage commissions.

There are risks associated with investing including possible loss of principal. Asset allocation does not assure a profit or protect against down markets. The stocks of smaller companies are subject to above-average market price fluctuations. There are specific risks associated with international investing, such as currency fluctuations, foreign taxation, differences in financial reporting practices and rapid changes

in political and economic conditions. Real estate investments are subject to specific risks, such as risks related to general and local economic conditions and risks related to individual properties. Fixed income securities are subject to interest rate risk, prepayment risk and market risk. Commodity trading is highly speculative and involves a high degree of risk. Funds focusing on a single sector generally experience greater price volatility.

**For more information on the above listed funds, visit [www.alpsfunds.com](http://www.alpsfunds.com). Please read the prospectus care fully before investing. The prospectus and, if available, the summary prospectus contains this and other information about the investment companies. The investor should consider investment objectives, risks, charges and expenses carefully before investing.**

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the funds with the exception of the United States Commodity Fund and the Stock Split Index Fund which are distributed by ALPS Distributors, Inc., ALPS Distributors, Inc. and ALPS Portfolio Solutions Distributor, Inc. are affiliated.

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