

# ALPS INNOVATIVE PRODUCT SUITE

January 10, 2017

ALPS Advisors, Inc. offers innovative investment solutions designed and customized to help advisors and investors build better portfolios. Our expertise in nontraditional asset classes and our strategic alliances with best in class partners, allows us to deliver a comprehensive suite of exchange-traded funds, exchange-traded products, closed-end funds and open-end funds, which may be used to diversify portfolios and create customized solutions.

## ALPS Mutual Funds

Our Mutual Fund suite continues to expand with our goal to provide exposure to unique asset classes through registered, fully liquid investment vehicles.

Investment Category	Fund Name	Ticker: A, C, I			Active/Passive	Inception Date
Global Equity	ALPS   Red Rocks Listed Private Equity Fund	LPEFX	LPFCX	LPEIX	Active	12/31/07
Large Value	ALPS   WMC Research Value Fund	AMWYX	AMWCX	AMWIX	Active	8/10/71
Large Blend	ALPS   Sterling ETF Tactical Rotation Fund	ETRAX	ETRCX	ETRIX	Active	6/30/14
MLPs	ALPS   Alerian MLP Infrastructure Index Fund	ALERX	ALRCX	ALRIX	Passive	12/31/12
Commodities	ALPS   CoreCommodity Management CompleteCommodities Fund	JCRAX	JCRCX	JCRIX	Active	6/29/10
Global Allocation	RiverFront Conservative Income Builder	RCABX	RCCBX	RCIBX	Active	8/31/12
	RiverFront Dynamic Equity Income	RLGAX	RLGCX	RLIIX	Active	8/2/10
	RiverFront Global Growth	RLTAX	RLTCX	RLFIX	Active	9/27/10
	RiverFront Global Allocation	RMGAX	RMGCX	RMGIX	Active	8/2/10
	RiverFront Moderate Growth & Income	RMIAX	RMICX	RMIIX	Active	8/2/10
Global Micro-Cap	ALPS   Metis Global Micro Cap Fund	METAX	METCX	METIX	Active	12/31/15
Long/Short Equity	Clough Global Long/Short Fund	CLOAX	CLOCX	CLOIX	Active	9/30/15
China	Clough China Fund	CHNAX	CHNCX	CHNIX	Active	12/30/05
India	ALPS   Kotak India Growth Fund	INDAX	INFCX	INDIX	Active	2/14/11

Please note that product availability may vary by firm.

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## ALPS Exchange-Traded Funds (ETFs)

Our ETF suite is strategically designed to focus on specific market segments, geographies, sectors, factors and themes to provide unique building blocks for constructing diversified portfolios.

Investment Category	Fund Name	Ticker	Active/Passive	Inception Date
Master Limited Partnerships (MLPs)	Alerian MLP ETF	AMPL	Passive	8/25/10
	Alerian Energy Infrastructure ETF	ENFR	Passive	10/31/13
Equity Income	ALPS Sector Dividend Dogs ETF	SDOG	Passive	6/29/12
	ALPS International Sector Dividend Dogs ETF	IDOG	Passive	6/27/13
	ALPS Emerging Sector Dividend Dogs ETF	EDOG	Passive	3/27/14
Global Fixed Income	RiverFront Strategic Income Fund	RIGS	Active	10/7/13
Commodities	Sprott Gold Miners ETF	SGDM	Passive	7/14/14
	Sprott Junior Gold Miners ETF	SGDJ	Passive	3/31/15
	United States Commodity Index Fund*	USCI	Passive	8/10/10
Large/Mid Cap Momentum	Sprott Buzz Social Media Insights ETF	BUZ	Passive	4/19/16
	ALPS Dorsey Wright Sector Momentum ETF	SWIN	Passive	1/10/17
Biotech/Pharmaceuticals	ALPS Medical Breakthroughs ETF	SBIO	Passive	12/30/14
Sector Focused	ALPS Equal Sector Weight ETF	EQL	Passive	7/6/09
Real Estate Investment Trusts (REITs)	Cohen & Steers Global Realty Majors ETF	GRI	Passive	5/7/08
Mid Cap Growth	BARRON'S 400SM ETF	BFOR	Passive	6/3/13
	USCF Stock Split ETF	TOFR	Passive	9/15/14
Alternative	U.S. Equity High Volatility Put Write Index Fund	HVPW	Passive	2/27/13

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**\* United States Commodity Index Fund® (USCI) ("the Fund"), is not a mutual fund or any other type of Investment Company within the meaning of the Investment Company Act of 1940, as amended, and are not subject to regulation thereunder.**

**Commodity Funds are generally volatile and are not suitable for all investors.**

**Material must be preceded or accompanied by a Prospectus.**

Shares of Exchange Traded Funds (ETFs) are not individually redeemable and owners of the shares may acquire those shares from the ETF and tender those shares for redemption to the ETF in Creation Units only, see the ETF prospectus for additional information regarding Creation Units. Investors may purchase or sell ETF shares throughout the day through any brokerage account, which will result in typical brokerage commissions.

There are risks associated with investing including possible loss of principal. Asset allocation does not assure a profit or protect against down markets. The stocks of smaller companies are subject to above-average market price fluctuations. There are specific risks associated with international investing, such as currency fluctuations, foreign taxation, differences in financial reporting practices and rapid changes

in political and economic conditions. Real estate investments are subject to specific risks, such as risks related to general and local economic conditions and risks related to individual properties. Fixed income securities are subject to interest rate risk, prepayment risk and market risk. Commodity trading is highly speculative and involves a high degree of risk. Funds focusing on a single sector generally experience greater price volatility.

**For more information on the above listed funds, visit [www.alpsfunds.com](http://www.alpsfunds.com). Please read the prospectus carefully before investing. The prospectus and, if available, the summary prospectus contains this and other information about the investment companies. The investor should consider investment objectives, risks, charges and expenses carefully before investing.**

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the funds with the exception of the United States Commodity Fund and the Stock Split Index Fund which are distributed by ALPS Distributors, Inc., ALPS Distributors, Inc. and ALPS Portfolio Solutions Distributor, Inc. are affiliated.

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