

ALPS PORTFOLIO SOLUTIONS PERFORMANCE BULLETIN

September 30, 2017

An ALPS Advisors Solution	Ticker	Fund Inception** Date	Cumulative Total Return as of 9.30.17				Average Annual Total Return* as of 9.30.2017					Expense Ratios ¹	
			1 Month	3 Month	Calendar Year-To-Date	Since Fund Inception	1 Year	3 Year	5 Year	10 Year	Since Fund Inception	Total Expense Ratio	What You Pay
ALPS Alerian MLP Infrastructure Index Fund													
Class A (NAV)	ALERX	12/31/2012	0.47%	-4.36%	-7.88%	-9.01%	-6.01%	-11.60%	N/A	N/A	-1.97%	1.75%	1.25%
Class A (MOP)			-5.03%	-9.63%	-12.96%	-14.00%	-11.21%	-13.25%	N/A	N/A	-3.13%		
Class C (NAV)	ALRCX		0.48%	-4.59%	-8.41%	-10.57%	-6.53%	-11.92%	N/A	N/A	-2.33%	2.35%	1.85%
Class C (CDSC)			-0.52%	-5.52%	-9.28%	-10.57%	-7.40%	-11.92%	N/A	N/A	-2.33%		
Class I	ALRIX		0.46%	-4.30%	-7.78%	-7.94%	-5.68%	-11.35%	N/A	N/A	-1.73%	1.35%	0.85%
Alerian MLP Infrastructure Index ²			0.56%	-4.22%	-7.17%	4.84%	-4.86%	-12.29%	N/A	N/A	1.00%		
ALPS CoreCommodity Management CompleteCommodities® Strategy Fund													
Class A (NAV)	JCRAX	6/29/2010	2.75%	7.01%	-1.45%	-14.14%	1.68%	-8.87%	-7.51%	N/A	-2.08%	1.45%	1.45%
Class A (MOP)			-2.86%	1.08%	-6.85%	-18.84%	-3.93%	-10.59%	-8.56%	N/A	-2.84%		
Class C (NAV)	JCRCX		2.56%	6.66%	-1.90%	-17.85%	0.98%	-9.48%	-8.12%	N/A	-2.67%	2.05%	2.05%
Class C (CDSC)			1.56%	5.66%	-2.89%	-17.85%	-0.02%	-9.48%	-8.12%	N/A	-2.67%		
Class I	JCRIX		2.74%	6.99%	-1.32%	-12.40%	1.86%	-8.65%	-7.27%	N/A	-1.81%	1.15%	1.15%
Thomson Reuters / CoreCommodity CRB Index ³			1.32%	5.04%	-4.28%	-27.59%	-0.99%	-12.75%	-9.75%	N/A	-4.35%		
Bloomberg Commodity Index ³			-0.15%	2.52%	-2.87%	-31.10%	-0.29%	-10.42%	-10.47%	N/A	-5.00%		
ALPS Kotak India Growth Fund													
Class A (NAV)	INDAX	2/14/2011	-2.82%	1.77%	26.17%	68.57%	14.29%	10.84%	12.96%	N/A	8.20%	3.93%	2.00%
Class A (MOP)			-8.19%	-3.84%	19.19%	59.33%	8.01%	8.77%	11.69%	N/A	7.28%		
Class C (NAV)	INFCX		-2.87%	1.62%	25.52%	61.26%	13.56%	10.11%	12.21%	N/A	7.48%	4.53%	2.60%
Class C (CDSC)			-3.85%	0.62%	24.52%	61.26%	12.56%	10.11%	12.21%	N/A	7.48%		
Class I	INDIX		-2.82%	1.88%	26.52%	72.39%	14.65%	11.23%	13.34%	N/A	8.57%	3.54%	1.60%
Nifty 500 Index ⁴			-3.09%	2.51%	24.89%	45.99%	15.75%	8.37%	8.37%	N/A	5.87%		
MSCI India Index ⁵			-3.69%	2.96%	24.11%	24.76%	14.17%	4.47%	6.57%	N/A	3.40%		
ALPS Metis Global Micro Cap Value Fund													
Class A (NAV)	METAX	12/23/15	2.23%	3.24%	10.89%	32.86%	14.24%	N/A	N/A	N/A	17.38%	4.48%	2.11%
Class A (MOP)			-3.42%	-2.45%	4.80%	25.58%	7.93%	N/A	N/A	N/A	13.71%		
Class C (NAV)	METCX		2.18%	3.11%	10.43%	31.24%	13.53%	N/A	N/A	N/A	16.57%	4.92%	2.71%
Class C (CDSC)			1.18%	2.11%	9.43%	31.24%	12.53%	N/A	N/A	N/A	16.57%		
Class I	METIX		2.24%	3.33%	11.18%	33.50%	14.59%	N/A	N/A	N/A	17.70%	3.41%	1.71%
S&P Global BMI Under \$500 Million Index ⁶			2.80%	6.21%	17.30%	30.40%	16.56%	N/A	N/A	N/A	16.15%		

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ALPS Red Rocks Listed Private Equity Fund													
Class A (NAV)	LPEFX	12/31/2007	2.17%	4.71%	25.35%	32.15%	27.30%	10.82%	15.42%	N/A	2.90%	2.21%	2.21%
Class A (MOP)			-3.49%	-1.11%	18.49%	24.91%	20.33%	8.75%	14.13%	N/A	2.31%		
Class C (NAV)	LPFCX	12/31/2007 ^A	2.14%	4.52%	24.67%	22.65%	26.40%	9.99%	14.65%	N/A	2.12%	2.87%	2.87%
Class C (CDSC)			1.14%	3.52%	23.67%	22.65%	25.40%	9.99%	14.65%	N/A	2.12%		
Class I	LPEIX		2.26%	4.89%	25.77%	36.13%	27.81%	11.14%	15.79%	N/A	3.21%	1.89%	1.89%
Class R	LPERX		2.21%	4.68%	25.27%	29.27%	27.10%	10.62%	15.44%	N/A	2.67%	2.34%	2.34%
MSCI World Index ⁷			2.28%	4.96%	16.53%	63.81%	18.83%	8.30%	11.62%	N/A	5.19%		
Red Rocks Global Listed Private Equity Index ⁸			4.64%	5.66%	23.25%	43.93%	27.88%	12.32%	15.34%	N/A	3.81%		
ALPS WMC Research Value Fund													
Class A (NAV)	AMWYX	8/10/1971	2.30%	2.19%	7.84%	7,068.02%	12.77%	7.00%	12.35%	5.28%	9.70%	1.53%	1.15%
Class A (MOP)			-3.31%	-3.41%	1.96%	6,675.07%	6.54%	4.99%	11.08%	4.68%	9.57%		
Class C (NAV)	AMWCX	8/10/1971 ^A	2.17%	1.94%	7.19%	4,981.24%	11.88%	6.19%	11.52%	4.50%	8.89%	2.27%	1.90%
Class C (CDSC)			1.17%	0.94%	6.19%	4,981.24%	10.88%	6.19%	11.52%	4.50%	8.89%		
Class I	AMWIX	8/10/1971 ^A	2.35%	2.24%	8.00%	7,847.54%	12.99%	7.26%	12.63%	5.53%	9.95%	1.28%	0.90%
Russell 1000 [®] Value Index ¹²			2.96%	3.11%	7.92%	N/A	15.12%	8.53%	13.20%	5.92%	N/A		
S&P 500 [®] Index ¹³			2.06%	4.48%	14.24%	N/A	18.61%	10.81%	14.22%	7.44%	N/A		
Clough China Fund													
Class A (NAV)	CHNAX	12/30/2005	0.38%	10.48%	31.91%	260.48%	21.31%	7.58%	9.35%	2.80%	11.53%	2.04%	1.95%
Class A (MOP)			-5.13%	4.42%	24.64%	240.72%	14.64%	5.57%	8.13%	2.22%	11.00%		
Class C (NAV)	CHNCX		0.28%	10.23%	31.14%	230.09%	20.36%	6.76%	8.52%	2.01%	10.70%	2.83%	2.70%
Class C (CDSC)			-0.72%	9.23%	30.14%	230.09%	19.36%	6.76%	8.52%	2.01%	10.70%		
Class I	CHNIX		0.37%	10.51%	32.17%	277.87%	21.57%	7.96%	9.70%	3.18%	11.98%	1.82%	1.70%
MSCI China Index ¹⁴			1.04%	14.66%	43.16%	278.74%	33.04%	12.58%	10.96%	1.84%	12.00%		

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RiverFront Global Allocation Series													
<i>RiverFront Conservative Income Builder Fund</i>													
Class A (NAV)	RCABX	8/31/2012	0.72%	2.20%	6.11%	25.41%	5.96%	3.59%	4.45%	N/A	4.56%	2.07%	1.38%
Class A (MOP)			-4.78%	-3.38%	0.24%	18.54%	0.14%	1.65%	3.27%	N/A	3.40%		
Class C (NAV)	RCCBX		0.64%	2.04%	5.57%	20.75%	5.14%	2.82%	3.68%	N/A	3.78%	2.83%	2.13%
Class C (CDSC)			-0.36%	1.04%	4.57%	20.75%	4.14%	2.82%	3.68%	N/A	3.78%		
Class I	RCIBX		0.73%	2.33%	6.34%	26.94%	6.19%	3.81%	4.70%	N/A	4.81%	1.83%	1.13%
Barclays U.S. Aggregate Bond Index ¹¹			-0.48%	0.85%	3.14%	10.91%	0.07%	2.71%	2.06%	N/A	2.06%		
<i>RiverFront Dynamic Equity Income Fund</i>													
Class A (NAV)	RLGAX	8/2/2010	1.43%	4.18%	12.95%	73.23%	14.32%	6.70%	8.18%	N/A	7.97%	1.65%	1.45%
Class A (MOP)			-4.18%	-1.52%	6.72%	63.73%	8.05%	4.72%	6.96%	N/A	7.13%		
Class C (NAV)	RLGCX		1.38%	4.04%	12.34%	64.11%	13.49%	5.90%	7.37%	N/A	7.16%	2.40%	2.20%
Class C (CDSC)			0.38%	3.04%	11.34%	64.11%	12.49%	5.90%	7.37%	N/A	7.16%		
Class I	RLIIX		1.51%	4.27%	13.15%	76.33%	14.57%	6.99%	8.47%	N/A	8.24%	1.40%	1.20%
MSCI All Country World Index ¹⁵			1.93%	5.18%	17.25%	90.79%	18.65%	7.43%	10.20%	N/A	9.44%		
<i>RiverFront Global Allocation Fund</i>													
Class A (NAV)	RMGAX	8/2/2010	1.76%	4.53%	14.65%	64.91%	15.78%	6.12%	8.04%	N/A	7.23%	1.76%	1.46%
Class A (MOP)			-3.82%	-1.21%	8.37%	55.87%	9.38%	4.13%	6.84%	N/A	6.39%		
Class C (NAV)	RMGCX		1.66%	4.35%	14.09%	56.42%	14.90%	5.34%	7.25%	N/A	6.45%	2.51%	2.21%
Class C (CDSC)			0.66%	3.35%	13.09%	56.42%	13.90%	5.34%	7.25%	N/A	6.45%		
Class I	RMGIX		1.71%	4.51%	14.89%	67.69%	15.99%	6.36%	8.31%	N/A	7.48%	1.51%	1.21%
MSCI All Country World Index ¹⁵			1.93%	5.18%	17.25%	90.79%	18.65%	7.43%	10.20%	N/A	9.44%		

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RiverFront Global Allocation Series													
<i>RiverFront Global Growth Fund</i>													
Class A (NAV)	RLTAX	10/28/2008**	1.85%	5.01%	16.02%	133.08%	17.02%	6.59%	8.91%	N/A	9.95%	1.71%	1.46%
Class A (MOP)			-3.75%	-0.75%	9.64%	120.30%	10.60%	4.60%	7.69%	N/A	9.25%		
Class C (NAV)	RLTCX	10/28/2008**	1.78%	4.83%	15.33%	118.25%	16.11%	5.82%	8.12%	N/A	9.14%	2.47%	2.21%
Class C (CDSC)			0.78%	3.83%	14.33%	118.25%	15.11%	5.82%	8.12%	N/A	9.14%		
Class I	RLFIX	10/28/2008**	1.90%	5.09%	16.26%	138.15%	17.29%	6.82%	9.18%	N/A	10.21%	1.46%	1.21%
Investor Class	RLTSX	10/28/2008	1.86%	5.04%	15.96%	132.75%	16.96%	6.58%	8.92%	N/A	9.93%	1.71%	1.46%
Class L	RLTIX	10/28/2008	1.90%	5.10%	16.28%	138.23%	17.32%	6.86%	9.21%	N/A	10.22%	1.47%	1.21%
MSCI All Country World Index ¹⁵			1.93%	5.18%	17.25%	170.01%	18.65%	7.43%	10.20%	N/A	11.77%		
S&P 500 [®] Index ¹³			2.06%	4.48%	14.24%	225.18%	18.61%	10.81%	14.22%	N/A	14.13%		
<i>RiverFront Moderate Growth & Income Fund</i>													
Class A (NAV)	RMIAX	8/2/2010	1.15%	3.01%	8.67%	56.13%	9.56%	5.13%	6.53%	N/A	6.42%	1.57%	1.41%
Class A (MOP)			-4.42%	-2.69%	2.73%	47.57%	3.56%	3.16%	5.33%	N/A	5.58%		
Class C (NAV)	RMICX		1.08%	2.87%	8.06%	48.08%	8.81%	4.35%	5.72%	N/A	5.63%	2.32%	2.16%
Class C (CDSC)			0.08%	1.87%	7.06%	48.08%	7.81%	4.35%	5.72%	N/A	5.63%		
Class I	RMIIX		1.07%	3.01%	8.81%	58.85%	9.84%	5.36%	6.78%	N/A	6.68%	1.32%	1.16%
S&P 500 [®] Index ¹³			2.06%	4.48%	14.24%	160.57%	18.61%	10.81%	14.22%	N/A	14.31%		

Performance data quoted represents past performance. Past performance does not guarantee future results. Investment return and principal value of an investment will fluctuate so that an investor's shares, when sold or redeemed, may be worth more or less than the original cost. Current performance data may be higher or lower than actual data quoted. For the most current month-end performance data please call 1.866.759.5679.

The ALPS | CoreCommodity Management Complete Commodities[®] Strategy Fund, the ALPS | Kotak India Growth Fund and the Clough China Fund impose a 2.00% redemption fee on shares held for less than 30 days. The ALPS | Metis Global Micro Cap Fund imposes a 2.00% redemption fee on shares held for less than 60 days. The ALPS | Red Rocks Listed Private Equity Fund imposes a 2.00% redemption fee on shares held for less than 90 days. The ALPS | Sterling ETF Tactical Rotation Fund imposes a 1.00% redemption fee on shares held less than 30 days. Performance data does not reflect the redemption fee which if reflected would reduce the performance quoted.

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Disclosure

- * Returns for periods less than one year are not annualized.
- ** Inception date for each share class is the same as what is shown for each Fund's Class A Shares, unless noted otherwise.
- 1 Please see the prospectus for additional information. What You Pay reflects the Adviser's decision to contractually limit expenses. Expense Ratios as of the fund's most recent prospectus. All funds will limit expenses through February 28, 2018.
 - 2 The Alerian MLP Infrastructure Index is comprised of midstream energy Master Limited Partnerships.
 - 3 Thomson Reuters / CoreCommodity CRB Index and Bloomberg Commodity Index (formerly the Dow Jones-UBS Commodity Index) are unmanaged indices used as a measurement of change in commodity market conditions based on the performance of a basket of different commodities.
 - 4 Nifty 500 Index (formerly the CNX 500 Index) - India's first broad based benchmark of the Indian capital market. The Nifty 500 companies are disaggregated into 72 industry indices. Industry weightages in the index reflect the industry weightages in the market.
 - 5 MSCI India Index - designed to measure the performance of the large and mid cap segments of the Indian market. With 64 constituents, the index covers approximately 85% of the Indian equity universe.
 - 6 The S&P Global BMI Under \$500 Million Index with net dividends is a comprehensive, rules-based index measuring global stock market performance of micro- and small- capitalization companies. It represents all issues in the S&P Global BMI (Broad Market Index (BMI) whose market capitalization at time of index constitution is less than \$500 million. The S&P Global <\$500M Index includes companies from both developed and emerging nations.
 - 7 MSCI World Index - MSCI's market capitalization weighted index is composed of companies representative of the market structure of 22 developed market countries in North America, Europe, and the Asia/Pacific Region.
 - 8 Red Rocks Global Listed Private Equity Index - includes securities, ADRs and GDRs of 40 to 75 private equity companies, including business development companies, master limited partnerships and other vehicles whose principal business is to invest in, lend capital to or provide services to privately held companies.
 - 9 Morningstar Global Allocation Index - Global allocation portfolios seek to provide both capital appreciation and income by investing across three areas: global equities, global bonds, and cash. This index represents a multi-asset-class portfolio of 60% global equities and 40% global bonds.
 - 10 Sterling Tactical Rotation Index - seeks to provide absolute returns during any market cycle or condition by employing an equally weighted strategic rotation model, trading between commodities, REITs, bonds, international and domestic equities.
 - 11 Barclay's U.S. Aggregate Bond Index - a broad-based benchmark that measures the investment grade, U.S. dollar-denominated, fixed-rate taxable bond market, including Treasuries, government-related and corporate securities, MBS (agency fixed-rate and hybrid ARM passthroughs), ABS, and CMBS.
 - 12 Russell 1000® Value Index - measures the performance of those Russell 1000 companies with lower price-to-book ratios and lower forecasted growth values.

¹³ S&P 500® Index - the Standard & Poor's composite index of 500 stocks, a widely recognized, unmanaged index of common stock prices.

¹⁴ MSCI China Index - constructed according to the MSCI Global Investable Market Index (GIMI) family. The MSCI China Index is part of the MSCI Emerging Markets Index.

¹⁵ The MSCI ACWI is a free float-adjusted market capitalization weighted index that is designed to measure the equity market performance of developed and emerging markets. The MSCI ACWI consists of 45 country indices comprising 24 developed and 21 emerging market country indices. The index includes reinvestment of dividends, net of foreign withholding taxes.

Maximum Offering Price (MOP) includes maximum sales charges. Class A returns include effects of the Fund's maximum sales charge of 5.50%. CDSC performance for Class C shares includes a 1% contingent deferred sales charge (CDSC) on C shares redeemed within 12 months of purchase. Performance shown at NAV does not include these sales charges and would have been lower had it been taken into account.

Effective February 28, 2015 the ALPS | WMC Disciplined Value Fund changed its name to the ALPS | WMC Research Value Fund.

The performance shown for the ALPS | WMC Research Value Fund (the "Fund") for periods prior to August 29, 2009, reflects the performance of the Activa Mutual Funds Trust – Activa Value Fund (as result of a prior reorganization of Activa Mutual Funds Trust – Activa Value Fund into the Fund).

The performance shown for the Clough China Fund for periods prior to January 15, 2010, reflects the performance of the Old Mutual China Fund, a series of Old Mutual Funds I (as a result of a prior reorganization of the Old Mutual China Fund into the Clough China Fund).

^A Since Fund Inception performance reflects the inception date of the respective Class A Shares. ALPS Red Rocks Listed Private Equity Fund Class C Shares and ALPS | WMC Research Value Fund Class C Shares prior to June 30, 2010, and ALPS | WMC Research Value Fund Class I Shares prior to November 2, 1998 reflects the historical performance of the respective Fund's Class A Shares, calculated using the fees and expense of Class C shares or Class I Shares, respectively.

^{AA} The performance shown for the RiverFront Global Growth Fund (the "Fund") for periods prior to September 27, 2010, reflects the performance of the Baird Funds, Inc. – RiverFront Global Growth Fund (as result of the reorganization of the Baird Funds, Inc. – RiverFront Global Growth Fund into the Fund), Classes A, C, and I of the Fund prior to September 27, 2010 reflect the historical performance of the predecessor Fund's Institutional Class Shares, calculated using the fees and expenses of Classes A, C, and I, respectively.

Important Risks

Investments in securities of MLPs, including those in the ALPS | Alerian MLP Infrastructure Index Fund, involve risks that differ from an investment in common stock. MLPs are controlled by their general partners, which generally have conflicts of interest and limited fiduciary duties to the MLP, which may permit the general partner to favor its own interests over the MLPs. The benefit you are expected to derive from the Fund's investment in MLPs depends largely on the MLPs being treated as partnerships for federal income tax purposes. As a partnership, an MLP has no federal income tax liability at the entity level. Therefore, treatment of one or more MLPs as a corporation for federal income tax purposes could affect the Fund's ability to meet its investment objective and would reduce the amount of cash available to pay or

distribute to you. Legislative, judicial, or administrative changes and differing interpretations, possibly on a retroactive basis, could negatively impact the value of an investment in MLPs and therefore the value of your investment in the Fund.

There are inherent risks in investing in ALPS | Red Rocks Listed Private Equity Fund, which encompass financial institutions or vehicles whose principal business is to invest in and lend capital to privately – held companies. Generally, little public information exists for private and thinly traded companies, and there is a risk that investors may not be able to make a fully informed investment decision. Each fund is not suitable for all investors. Subject to investment risks, including possible loss of the principal amount invested.

The ALPS | WMC Research Value Fund, RiverFront Global Growth Fund, RiverFront Dynamic Equity Income Fund, RiverFront Moderate Growth & Income Fund and RiverFront Global Allocation Fund are subject to investment risks, including possible loss of the principal amount invested.

Investing in China, Hong Kong, and Taiwan involves risk and considerations not present when investing in more established securities markets. The Clough China Fund may be more susceptible to the economic, market, political, and local risks of these regions than a fund that is more geographically diversified.

Investments in India can be considered speculative and may involve risk and considerations not present when investing in more established securities markets. The ALPS | Kotak India Growth Fund may be more susceptible to the economic, market, political, and local risks of India than a fund that is more geographically diversified.

Investing in Commodity-Related securities involves risk and considerations not present when investing in more conventional securities. The ALPS | CoreCommodity Management CompleteCommodities® Strategy Fund may be more susceptible to high volatility of commodity markets.

An investor should consider investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus, which contains this and other information, call 1.866.759.5679 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

"CompleteCommodities" is a service mark of CoreCommodity Management, LLC.

The ALPS | Metis Global Micro Cap Fund is new and has limited operating history.

ALPS Portfolio Solutions Distributor, Inc., is the distributor.

Not FDIC Insured • No Bank Guarantee • May Lose Value

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