

# ALPS PORTFOLIO SOLUTIONS

## 2017 YEAR-END DISTRIBUTION ESTIMATES

The per share distribution amounts listed below are estimates only as of November 24, 2017. **These figures are NOT to be used in the computation of federal and/or state income taxes.** The actual distribution amounts will be posted to this website on ex-dividend date.

| An ALPS Advisors Solution  | Ticker                       | Ordinary Income Estimate | Short-Term Capital Gains Estimate | Long-Term Capital Gains Estimate |
|--|------------------------------|--------------------------|-----------------------------------|----------------------------------|
| <b>ALPS   Red Rocks Listed Private Equity Fund</b>                       |                              |                          |                                   |                                  |
| Record Date: 12/11/2017  | Ex-Dividend Date: 12/12/2017 | Payable Date: 12/13/2017 |                                   |                                  |
| Class A  | LPEFX                        | \$0.71020                | —                                 | \$0.2444                         |
| Class C  | LPFCX                        | \$0.71020                | —                                 | \$0.2444                         |
| Class I  | LPEIX                        | \$0.71020                | —                                 | \$0.2444                         |
| Class R  | LPERX                        | \$0.71020                | —                                 | \$0.2444                         |
| <b>ALPS   WMC Research Value Fund</b>                                    |                              |                          |                                   |                                  |
| Record Date: 12/11/2017  | Ex-Dividend Date: 12/12/2017 | Payable Date: 12/13/2017 |                                   |                                  |
| Class A  | AMWYX                        | \$0.06670                | \$0.2108                          | \$0.47690                        |
| Class C  | AMWCX                        | \$0.06670                | \$0.2108                          | \$0.47690                        |
| Class I  | AMWIX                        | \$0.06670                | \$0.2108                          | \$0.47690                        |
| <b>Clough China Fund</b>   |                              |                          |                                   |                                  |
| Record Date: 12/19/2017  | Ex-Dividend Date: 12/20/2017 | Payable Date: 12/21/2017 |                                   |                                  |
| Class A  | CHNAX                        | \$0.10430                | —                                 | —                                |
| Class C  | CHNCX                        | \$0.10430                | —                                 | —                                |
| Class I  | CHNIX                        | \$0.10430                | —                                 | —                                |
| <b>ALPS   CoreCommodity Management CompleteCommodities Strategy Fund</b> |                              |                          |                                   |                                  |
| Record Date: 12/11/2017  | Ex-Dividend Date: 12/12/2017 | Payable Date: 12/13/2017 |                                   |                                  |
| Class A  | JCRAX                        | \$0.19550                | —                                 | —                                |
| Class C  | JCRCX                        | \$0.19550                | —                                 | —                                |
| Class I  | JCRIX                        | \$0.19550                | —                                 | —                                |
| <b>ALPS   Kotak India Growth Fund</b>                                    |                              |                          |                                   |                                  |
| Record Date: 12/6/2017   | Ex-Dividend Date: 12/7/2017  | Payable Date: 12/8/2017  |                                   |                                  |
| Class A  | INDAX                        | —                        | —                                 | \$0.41430                        |
| Class C  | INFCX                        | —                        | —                                 | \$0.41430                        |
| Class I  | INDIX                        | —                        | —                                 | \$0.41430                        |
| <b>ALPS  Metis Global Micro Cap Fund</b>                                 |                              |                          |                                   |                                  |
| Record Date: 12/19/2017  | Ex-Dividend Date: 12/20/2017 | Payable Date: 12/21/2017 |                                   |                                  |
| Class A  | METAX                        | \$0.16420                | \$0.54160                         | \$0.3659                         |
| Class C  | METCX                        | \$0.16420                | \$0.54160                         | \$0.3659                         |
| Class I  | METIX                        | \$0.16420                | \$0.54160                         | \$0.3659                         |

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## 2017 YEAR-END DISTRIBUTION ESTIMATES (CONTINUED)

| An ALPS Advisors Solution                           | Ticker                       | Ordinary Income Estimate | Short-Term Capital Gains Estimate | Long-Term Capital Gains Estimate |
|---|------------------------------|--------------------------|-----------------------------------|----------------------------------|
| <b>RiverFront Global Allocation Series</b>          |                              |                          |                                   |                                  |
| Record Date: 12/27/2017                             | Ex-Dividend Date: 12/28/2017 | Payable Date: 12/29/2017 |                                   |                                  |
| <i>RiverFront Global Allocation Fund</i>            |                              |                          |                                   |                                  |
| Class A   | RMGAX                        | \$0.07010                | —                                 | \$0.0814                         |
| Class C   | RMGCX                        | \$0.07010                | —                                 | \$0.0814                         |
| Class I   | RMGIX                        | \$0.07010                | —                                 | \$0.0814                         |
| <i>RiverFront Dynamic Equity Income Fund</i>        |                              |                          |                                   |                                  |
| Class A   | RLGAX                        | \$0.00290                | —                                 | \$0.3203                         |
| Class C   | RLGCX                        | \$0.00290                | —                                 | \$0.3203                         |
| Class I   | RLIIX                        | \$0.00290                | —                                 | \$0.3203                         |
| <i>RiverFront Moderate Growth &amp; Income Fund</i> |                              |                          |                                   |                                  |
| Class A   | RMIAX                        | \$0.02020                | —                                 | \$0.5569                         |
| Class C   | RMICX                        | \$0.02020                | —                                 | \$0.5569                         |
| Class I   | RMIIX                        | \$0.02020                | —                                 | \$0.5569                         |
| <i>RiverFront Global Growth Fund</i>                |                              |                          |                                   |                                  |
| Class A   | RLTAX                        | \$0.09740                | —                                 | \$0.4416                         |
| Class C   | RLTCX                        | \$0.09740                | —                                 | \$0.4416                         |
| Class I   | RLFIX                        | \$0.09740                | —                                 | \$0.4416                         |
| Class L   | RLTIX                        | \$0.09740                | —                                 | \$0.4416                         |
| Investor Class                                      | RLTSX                        | \$0.09740                | —                                 | \$0.4416                         |
| <i>RiverFront Conservative Income Builder Fund</i>  |                              |                          |                                   |                                  |
| Class A   | RCABX                        | \$0.01930                | —                                 | \$0.1806                         |
| Class C   | RCCBX                        | \$0.01930                | —                                 | \$0.1806                         |
| Class I   | RCIBX                        | \$0.01930                | —                                 | \$0.1806                         |

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