

ALPS EMERGING SECTOR DIVIDEND DOGS ETF VALUE, INCOME, DIVERSIFICATION

EDOG

September 30, 2018

The ALPS Emerging Sector Dividend Dogs ETF [EDOG] is an Exchange Traded Fund that applies the 'Dogs of the Dow Theory' on a sector-by-sector basis using the S-Network Emerging Markets Index as its starting universe of eligible securities. This strategy provides the following potential benefits:

- **High Yield Relative to Emerging Markets broad based indices** – Starting with a smaller, quality universe such as the S-Net Emerging Sector Dividend Dogs Index diminishes the likelihood of troubled and financially distressed companies entering the index and allows dividend yield to be the primary selection criterion for the index.
- **Sector, Stock and Country Diversification** – EDOG provides high dividend exposure across 10 sectors of the market that make up the S-Network Emerging Markets Index (excluding the real estate sector) by selecting the five highest yielding securities in each sector and equally weighting them. In addition, the country representation is capped at five eligible securities per country. This methodology provides diversification at the sector, stock, and country level.
- **Dogs Theory** – EDOG isolates the S-Network® Emerging Markets Index constituents with the highest dividend yield in their respective sectors providing the potential for price appreciation as market forces bring their yield into line with the overall market.

Performance as of 9/30/18

Total Returns	Cumulative				Annualized		
	1 Mo.	3 Mo.	YTD	S.I.	1 Yr.	3 Yr.	S.I. ¹
ALPS Emerging Sector Dividend Dogs ETF (Net Asset Value)	0.41%	0.22%	-13.82%	-0.32%	-10.35%	4.80%	-0.07%
ALPS Emerging Sector Dividend Dogs ETF (Market Price) ²	0.35%	0.07%	-14.33%	-0.98%	-11.45%	4.59%	-0.22%
MSCI EM TR Net Index (Benchmark)	-0.53%	-1.09%	-7.68%	20.14%	-0.81%	12.36%	4.15%
S-Network® Emerging Sector Dividend Dogs NTR Index ³	0.49%	0.35%	-13.57%	3.58%	-9.79%	5.64%	0.78%

Performance data quoted represents past performance. Past performance does not guarantee future results. Current performance may be higher or lower than actual data quoted. Call 1.866.675.2639 or visit www.alpssectordividenddogs.com for current month end performance. The investment return and principal value of an investment will fluctuate so that an investor's shares, when redeemed, may be worth more or less than their original cost.

¹ Fund inception date of 3/28/2014.

² Market Price is based on the midpoint of the bid/ask spread at 4 p.m. ET and does not represent the returns an investor would receive if shares were traded at other times.

³ The S-Network® Emerging Sector Dividend Dogs Index (Ticker: EDOGX) is a portfolio of stocks derived from a universe of mainly large capitalization stocks domiciled in emerging markets (the "S-Network Emerging Markets Index" "SNEMX"). One cannot invest directly in an index.

ETF Stats

Ticker:	EDOG
Underlying Index:	EDOGXTR
Listing Exchange:	NYSE Arca
CUSIP:	00162Q668
Fund Inception:	3/28/14
Dividends Paid:	Quarterly
Most Recent Dividend*:	0.3586
Gross Expense Ratio:	0.60%
NAV**:	\$21.14
Shares Outstanding:	1,700,000
30 Day SEC Yield ^{^^}	4.53%
Unsubsidized 30 Day SEC Yield	4.53%

* Dividend paid on September 27, 2018

** As of September 30, 2018

^{^^} The 30 day SEC yield figure reflects the dividends and interest earned during the period, after the deduction of the Fund's expenses.

Investment Objective

The Fund seeks investment results that replicate as closely as possible, before fees and expenses, the performance of the S-Network® Emerging Sector Dividend Dogs Index (the "Index").

Index Allocations



Communication Services	9.88%
Consumer Discretionary	9.97%
Consumer Staples	9.72%
Energy	10.29%
Financials	10.09%
Health Care	9.94%
Industrials	10.06%
Information Technology	9.71%
Materials	10.23%
Utilities	10.11%

Allocations as of 9/30/18 and are subject to change.

Learn More

866.675.2639 | www.alpssectordividenddogs.com



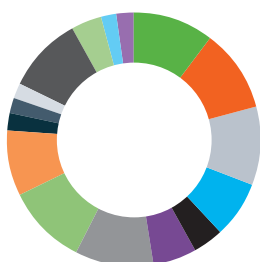
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EDOG Index Country Allocations

September 30, 2018



Region	Country	Allocation (%)
ASIA PACIFIC	China	10.45%
	Indonesia	10.43%
	Thailand	9.96%
	Malaysia	7.43%
	Philippines	3.74%
CENTRAL ASIA	India	5.74%
AFRICA/MIDDLE EAST	South Africa	9.90%
EASTERN EUROPE	Turkey	10.23%
	Russia	8.50%
	Hungary	2.03%
	Poland	1.95%
	Czech Republic	1.92%
SOUTH & CENTRAL AMERICA	Brazil	9.67%
	Chile	4.08%
NORTH AMERICA	Argentina	1.96%
	Mexico	2.01%

Allocations as of 9/30/18 and are subject to change.

Index Constituents as of 9/30/18

Communication Services

INTUCH-R TB	INTOUCH HOLDINGS PCL-NVDR
MBT US	MOBILE TELESYSTEMS-SP ADR
PHI US	PLDT INC-SPON ADR
TEO US	TELECOM ARGENTINA SA-SP ADR
TKC US	TURKCELL ILETISIM HIZMET-ADR

Consumer Discretionary

ASII IJ	ASTRA INTERNATIONAL TBK PT
ASTRO MK	ASTRO MALAYSIA HOLDINGS BHD
FROTO TI	FORD OTOMOTIV SANAYI AS
2333 HK	GREAT WALL MOTOR COMPANY-H
WHL SJ	WOOLWORTHS HOLDINGS LTD

Consumer Staples

AVI SJ	AVI LTD
ROTH MK	BRITISH AMERICAN TOBACCO BHD
CENCOSUD CI	CENCOSUD SA
CPF TB	CHAROEN POKPHAND FOODS PUB
GGRM IJ	GUDANG GARAM TBK PT

Energy

OGZD LI	GAZPROM PAO -SPON ADR
LKOD LI	LUKOIL PJSC-SPON ADR
SCC PM	SEMIRARA MINING AND POWER CO
TOP TB	THAI OIL PCL
TUPRS TI	TUPRAS-TURKIYE PETROL RAFINE

Financials

ABG SJ	ABSA GROUP LTD
BSMX US	BANCO SANTANDER MEXICO -ADR
PEO PW	BANK PEKAO SA
BBSE3 BZ	BB SEGURIDADE PARTICIPACOES
MAY MK	MALAYAN BANKING BHD

Health Care

FLRY3 BZ	FLEURY SA
KLBF IJ	KALBE FARMA TBK PT
LHC SJ	LIFE HEALTHCARE GROUP HOLDIN
NTC SJ	NETCARE LTD
RICT HB	RICHTER GEDEON NYRT

Industrials

BTS TB	BTS GROUP HOLDINGS PCL
CCRO3 BZ	CCR SA
1138 HK	COSCO SHIPPING ENERGY TRAN-H
ENKAI TI	ENKA INSAAT VE SANAYI AS
MISC MK	MISC BHD

Information Technology

CIEL3 BZ	CIELO SA
DELTA TB	DELTA ELECTRONICS THAI PCL
INFY US	INFOSYS LTD-SP ADR
992 HK	LENOVO GROUP LTD
WIT US	WIPRO LTD-ADR

Materials

ERGL TI	ERGLI DEMIR VE CELIK FABRIK
INTP IJ	INDOCEMENT TUNGGAL PRAKARSA
SVST LI	SEVERSTAL - GDR REG S
338 HK	SINOPEC SHANGHAI PETROCHEM-H
VEDL US	VEDANTA LTD-ADR

Utilities

AESGENER CI	AES GENER SA
CEZ CP	CEZ AS
EGIE3 BZ	ENGIE BRASIL ENERGIA SA
HNP US	HUANENG POWER INTL-SPONS ADR
PGAS IJ	PERUSAHAAN GAS NEGARA PERSER

Source: Bloomberg.
Subject to change.

Important Disclosures & Definitions

An investor should consider the investment objectives, risks, charges and expenses carefully before investing. To obtain a prospectus which contain this and other information call 866.675.2639 or visit www.alpsfunds.com. Read the prospectus carefully before investing.

ALPS Emerging Sector Dividend Dogs ETF Shares are not individually redeemable. Investors buy and sell shares of the ALPS Emerging Sector Dividend Dogs ETF on a secondary market. Only market makers or "authorized participants" may trade directly with the Fund, typically in blocks of 50,000 shares.

There are risks involved with investing in ETFs including the loss of money. Additional information regarding the risks of this investment is available in the prospectus.

The Fund is subject to the additional risks associated with concentrating its investments in companies in the market sector.

Diversification does not eliminate the risk of experiencing investment losses.

The Fund's investments in non-U.S. issuers may involve unique risks compared to investing in securities of U.S. issuers, including, among others, greater market volatility than U.S. securities and less complete financial information than for U.S. issuers. In addition, adverse political, economic or social developments could undermine the value of the Fund's investments or prevent the Fund from realizing the full value of its investments. Financial reporting standards for companies based in foreign markets differ from those in the United States. Finally, the value of the currency of the country in which the Fund has invested could decline relative to the value of the U.S. dollar, which may affect the value of the

investment to U.S. investors. An investor cannot invest directly in an index. Investing in emerging markets accentuates these risks.

The S-Network® Emerging Sector Dividend Dogs Index (Ticker: EDOGX) is a portfolio of stocks derived from a universe of mainly large capitalization stocks domiciled in emerging markets (the "S-Network® Emerging Markets Index" "SNEMX"). The EDOGX methodology selects the five stocks in each of the ten GICS sectors that make up the universe which offer the highest dividend yields as of the last trading day of November. The fifty stocks that are selected for inclusion in the portfolio are equally weighted. The universe includes stocks whose domicile and primary exchange listings are in countries identified by the World Bank as Upper Middle Income (certain lower middle income countries are also included, as well as stocks traded on the Taiwan Stock Exchange despite non-recognition by the World Bank). The selection criteria for the universe, in addition to the aforementioned country qualifications, also include requirements for sector inclusion, primary exchange listing, minimum market capitalization, share price, average daily trading volume and other factors.

Dogs of the Dow Theory: an investment strategy which proposes that an investor annually select for investment the ten Dow Jones Industrial Average stocks whose dividend is the highest fraction of their price.

MSCI EM TR Net Index: a free float-adjusted market capitalization index that is designed to measure equity market performance in the global emerging markets.

ALPS Portfolio Solutions Distributor, Inc. is the distributor for the ALPS Emerging Sector Dividend Dogs ETF.

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